

The SRA Are Back In Town..!

Practice Standards Unit Monitoring Visits...

The SRA have been very active since their inception in carrying out Practice Standards Unit audits in a range of firms across England and Wales. **Swansea** and **Pontypridd** have been its most recent targets, but who knows where they'll strike next? And once they start auditing in an area...they carry on....

Where Can A Practice Get Help Preparing For Audit?

CPM21 has helped many firms in England and Wales prepare for this type of audit by;

- Independently assessing the things the auditor will look for from their perspective and provide an itemised and prioritised report of what to do.
- Project managing your resources to deal with any actions prior to the audit
- Updating any of the substantive policies and procedures the SRA will look at
- Providing new policies and procedures if any of them are “missing”
- Reviewing any of the annual reports required by the Solicitors Code Of Conduct 2007 that the SRA will review, such as;
 - Risk Management
 - File Review
 - Complaints
- Analysing and preparing any of the reports above...if the practice has not got around to doing them
- Providing support during the audit
- Providing post audit support with the practice response to the SRA findings
- CPD accredited training dealing with what the PSU audit entails and how to respond

MOST OF ALL WE PROVIDE REASSURANCE THAT THE PRACTICE WILL BE IN COMPLIANCE AND THAT THE SRA WILL FIND LITTLE TO CRITICISE...OR WORSE.

Want to know more?

Then contact one of our specialist consultants below;

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